



HELPING FUND UK BUSINESS

NACFB DECLARATION	
Assessment conducted by	Nina Morgan
Assessor's position	Compliance Manager
Date of assessment	19/0/2025

## Finance House Ltd

is an approved Member of the

## National Association of Commercial Finance Brokers (NACFB)

Please find below information regarding the NACFB Member, their business, and key regulatory elements:

BUSINESS INFORMATION					
Trading name					
Trading address	Dunwoody House 396 Kenton Road Harrow Middlesex HA3 9DH				
Companies House number	2343069				
Professional Indemnity Insurance held?	Yes	✓	No		Not required

PERSONNEL	
Contact name	Kantesh Popat
Job title	Director
Email address	ken@kennfordgroup.com
Telephone number	02089091000

APPOINTED REPRESENTATIVES (ARs)	
Number of Appointed Representatives (ARs)	Number of Introducer Appointed Representatives (IARs)
0	0

AR CHECKLIST	
The Principal Firm conducts appropriate checks and assessments prior to onboarding any AR	NA
The Principal Firm has an appropriate AR agreement in place	NA
The Principal Firm conducts appropriate monitoring of all AR relationships	NA
The Principal Firm ensures the AR adopts appropriate policies and procedures of the Principal Firm	NA

REGULATORY INFORMATION	
FCA firm reference number (FRN)	727186
ICO registration number	Z6769329
All regulatory reporting and standing data are up to date	✓

POLICIES & PROCEDURES	
Anti-Bribery and Corruption	✓
Anti-Money Laundering and Financial Crime	✓
Complaints Handling	✓
Data Protection	✓
Financial Promotion	NA
Treating Customers Fairly	✓
Vulnerable Customer	✓

COMPLAINTS	
Number of complaints received in last 12 months	Number of complaints upheld or partially upheld
0	0
Number of complaints referred to a complaints resolution service (CRS)	Number of decisions overturned by a CRS within the last 12 months
0	0

TRAINING & COMPETENCY	
Appropriate training in place, with appraisal-led competency assessments conducted at least annually	✓
Appropriate policies and procedures in place to identify, manage, monitor, and report complaints	✓

The above information is accurate as of the date that the NACFB Member undertook an Assurance Consultation. Further business and regulatory information should be requested from the firm directly.